Editors’ choice

A new middle Cambrian trilobite with a specialized cephalon from Shandong Province, North China

ZHIXIN SUN, HAN ZENG, and FANGCHEN ZHAO


Trilobites achieved their maximum generic diversity in the Cambrian, but the peak of morphological disparity of their cranidia occurred in the Middle to Late Ordovician. Early to middle Cambrian trilobites with a specialized cephalon are rare, especially among the ptychoparioids, a group of librismatates featuring the so-called “generalized” bauplan. Here we describe an unusual ptychopariid trilobite Phantaspis auritus gen. et sp. nov. from the middle Cambrian (Miaolingian, Wuliuan) Mantou Formation in the Shandong Province, North China. This new taxon is characterized by a cephalon with an extended anterior area of double-lobate shape resembling a pair of rabbit ears in later ontogenetic stages; a unique type of cephalic specialization that has not been reported from other trilobites. Such a peculiar cephalon as in Phantaspis provides new insights into the variations of cephalic morphology in middle Cambrian trilobites, and may represent a heuristic example of ecological specialization to predation or an improved discoidal enrollment.

Key words: Trilobita, Ptychopariida, ontogeny, specialization, Miaolingian, Paleozoic, Longgang, Asia.

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Introduction

Trilobites exhibit a bottom-centered diversification history, peaking in generic diversity, origination and extinction rates, and intraspecific variation during the Cambrian Period (e.g., Foote 1988; Fortey and Owens 1990b; Webster 2007). However, unlike these diversity measures, the morphological disparity of trilobites based on cranidial outline reached the peak in post-Cambrian times (Foote 1990, 1991), during the Middle to Late Ordovician (e.g., Foote 1993; Fortey and Owens 1997). Cambrian trilobites with a specialized cephalon were rare, and despite that some species exhibited additional cephalic border spines (e.g., McNamara 1978; Knell and Fortey 2005; Ren et al. 2017), the cranidia of Cambrian trilobites were less morphologically disparate than those of post-Cambrian ones (Foote 1991, 1993). The early to middle Cambrian ptychopariids have been regarded as an ancestral group of many highly apomorphic post-Cambrian trilobites (Fortey 2001), but cases of cranial specialization are also rare in this group.

Here we describe a ptychoparid trilobite with an unusual cephalic morphology, Phantaspis auritus gen. et sp. nov. from the middle Cambrian (Miaolingian, Wuliuan) Mantou Formation in Shandong Province, North China. Phantaspis possesses an elongated cephalon with a deep notch of the anterior area that developed in later growth stages and is unknown from other Cambrian or younger trilobites. This remarkable example of cephalic outline change helps to understand the morphological range and structural foundation of the cephalic specialization in Cambrian trilobites, and appears to provides insight into life habits or ecological adaptation of middle Cambrian ptychopariids.

Institutional abbreviations.—NIGPAS, Nanjing Institute of Geology and Palaeontology, Chinese Academy of Sciences, Nanjing, China.

Other abbreviations.—L0–L4, first to fifth lateral lobes; S0–S4, first to fifth lateral glabellar furrows; sag., sagittal; exs., exsagittal; tr., transverse.

Nomenclatural acts.—This published work and the nomenclatural acts it contains have been registered in ZooBank: urn:lsid:zoobank.org:pub:51844710-D71E-40D2-9882-566300524DA8
Material and methods

All specimens described in this paper were collected from the middle Cambrian Mantou Formation in the Longgang section in Shandong Province, North China (Fig. 1), and are deposited in the Nanjing Institute of Geology and Palaeontology, Chinese Academy of Sciences, Nanjing, China (NIGPAS 172104–172136). Light photographs were taken using a Nikon
D810 camera fitted with a Nikon AF-S Nikkor 105 mm lens. Images were processed using Adobe Photoshop to adjust tone, contrast, and brightness. The morphological terminology employed in this article follows that of Whittington and Kelly (1997). Measurements were made parallel to and normal to the sagittal line, the directions of which are referred to as sagittal/exsagittal and transverse, respectively.

Geological setting

The Mantou (alternative spelling “Manto”) Formation is a lithostratigraphical unit comprising sedimentary rocks of the Longwangmiaoan (corresponding to the lower part of Cambrian Stage 4), Maozhuangian, and Hsuchuangian (corresponding to the Wuliuan) regional stages (Zhang 1996; Yuan et al. 2012). In the Shandong Province, the Mantou Formation has been subdivided into the Shidian, Lower Shale, Honghe, and Upper Shale members.

All specimens of *Phantaspis auritus* gen. et sp. nov. were collected from the Honghe Member in the Longgang section in Linqu County (Fig. 1) (Sun et al. 2020). In this section the Honghe Member consists mainly of alternating beds of dark purple mudstone and sandstone (Fig. 2) that were deposited in a lagoonal or subtidal environment (Zhang 1996; Chough et al. 2010). All specimens of *Phantaspis* are from a single mudstone bed, 10.6 m above the base of the Honghe Member. The presence of *Paraszeaspis cf. quadratus* Zhang and Yuan in Yuan et al., 2015 in the Longgang section indicates that the beds yielding *Phantaspis* correspond to the middle part of the *Sunaspis laevis–Sunaspidella rara* Zone (Yuan et al. 2015), which is approximately correlative to the *Pentagnostus praecurrens* Zone (= *Ptychagnostus praecurrens* Zone) in Australia, Antarctica, and Laurentia, to the *Kouamkites* Zone in Siberia, and to the *Peronopsis ultimus* Zone in Kazakhstan (Zhu et al. 2019; Geyer 2019).

Systematic palaeontology

Class Trilobita Walch, 1771
Order Ptychopariida Swinnerton, 1915
Superfamily Ptychopariacea Matthew, 1887
Family uncertain

Genus *Phantaspis* nov.


*Etymology:* From the Ancient Greek φαντασία (phantasia), fantasy and ἀσπίς (aspis), shield; in reference to the fantastic exoskeleton and Jay Chou’s album *Fantasy*.

*Type species:* *Phantaspis auritus* gen. et sp. nov., by monotypy, see below.

*Diagnosis:* As for the type species by monotypy.

*Phantaspis auritus* sp. nov.

Figs. 3–5.


*Etymology:* From the Latin aurītus, having ears; in reference to the cranidium having a pair of long “ears”.

*Type material:* Holotype: a nearly complete exoskeleton, NIGPAS 172104 (Fig. 3A). Paratypes: two complete cranidia, NIGPAS 172105 (Fig. 3B) and NIGPAS 172106 (Fig. 3C) from the type locality and horizon.

*Type locality:* Longgang section, Shandong Province, North China.

*Type horizon:* 10.6 m above the base of the Honghe Member, Mantou Formation, Cambrian Miaolingian, Wuliuan Stage (Hsuchuangian, *Sunaspis laevis–Sunaspidella rara* Zone).

*Material:* Type material and eight complete or fairly complete dorsal exoskeletons, NIGPAS 172104, 172107, 172110, 172113, 172126–172128, 172131; 55 cranidia, 10 of which are complete, NIGPAS 172105, 172106, 172108, 172114, 172115a–172115d, 172116, 172117; nine librigenae, NIGPAS...
172104, 172108, 172126, 172128, 172132–17236 and eight pygidia, NIGPAS 172107, 172110, 172115, 172126, 172127, 172129–172131. All from the type locality and horizon.

**Diagnosis.**—Cranidium with an extended (sag.) anterior area having a deep indentation on the sagittal line; length (sag.) and width (tr.) of the cranidium comparable; glabella elongate, moderately tapered, slightly constricted in the middle (sag.); five pairs of elliptical lateral glabellar furrows (S0–S4) not connected to the axial furrow; ocular ridges elevated and robust; palpebral area wide (tr.); anterior border narrow; librigenae trapezoidal in outline, lateral margin straight, ventral doublure extended conspicuously anteriorly. Thorax composed of 13 segments having relatively long pleural spines. Pygidium semicircular, moderately large; pygidial axis moderately tapered, broad (tr.); posterior pygidial margin relatively wide.

**Description.**—Adults: Cranidium extremely extended, constituting nearly half of the axial length of the exoskeleton (Fig. 3A); length 4.0–15.4 mm (n = 10), width at the palpebral lobes about 80 to 100% of the cranidium length; anterior border deeply extended medially, very strongly curved adaxially and forficiform in outline; anterior area smooth, expanded, developed into a pair of lobate processes separated by a distinct rearward indentation on the sagittal line, length greater than 50–65% (n = 10) of the cephalic length (Figs. 3A–F, 4E–H). Glabella subrectangular, moderately convex, slightly tapering forward and with constriction across S2, width at the anterior end 70% of the maximum width of glabella across occipital lobe; glabellar length 35–50% (n = 10) of the cranidial length, width across S1 25–35% (n = 10) of the cranidial width across same transverse line; five pairs of lateral glabellar furrows (S0–S4) present, moderately deep, oval in outline, adjacent to the axial furrow slightly; S0 directed transversely, consisting of a pair of well-developed lateral portions of the furrows and a median section consisting of a much less indented faint furrow with a slight forward bend medially; occipital ring moderately wide (sag.), slightly convex (tr. and sag.); S1 curved obliquely rearwardly from near axial furrow, with indistinct bifurcation adaxially (sometimes emphasized by dorsoventral compaction); S2 moderately long, directed slightly oblique from near axial furrow, often with slight curvature and a faint tendency to bifurcate adaxially; S3 developed as a short oval depression with its axis directed obliquely forward and adaxially, well distant from axial furrows due to a distinctly developed abaxial lobe of the glabella; S4 developed as a short slit-like indentation oblique to axis, extending from the axial furrow at the posterior margin of the eye ridge; frontal lobe subdivided by a shallow median sulcus in large individuals, slightly curved in juveniles (Fig. 3D). Axial furrow shallow and narrow, convergent from S0 to S2, then slightly divergent anteriorly; preglabellar furrow shallow, of uniform depth, narrow and slightly curved (Figs. 3, 4), separated from the axial furrow by a couple of fossulas. Preglabellar area slightly convex, length 40–62% (n = 5) of the anterior area length (Figs. 3A–F, 4E–H), with a faint domal swelling in front of the glabella (Fig. 3A, B). Anterior border developed as a narrow, slightly convex swelling coalescent with the adjacent distant parts of the preglabellar area; anterior margin forming a spectacular double curvature due to a distinct indentation on the sagittal line, which reduces the sagittal length of the preglabellar area to ca. one-half to two-thirds; anterior border furrow obsolescent, barely recognizable in holaspids (Figs. 3A–C, 4G–H). Palpebral area of the fixigena fairly broad, with faint caeca occasionally, transversely of ca. 70% the cranidial width across S1; slightly convex in transverse profile on internal moulds and on the shell exterior, sloping gently upward from the axial furrow; poorly defined from axial furrow on the shell exterior, but well demarcated on internal moulds. Palpebral lobes crescent shaped, upturned, clearly convex in tr. profile; anterior end located about opposite L3 to S3, posterior tips located about opposite S0 or the anterior third of L0; palpebral furrow moderately wide, shallow in its central (exsag.) part, better developed along anterior and posterior thirds of the palpebral lobes. Eye ridges elevated, well developed, nearly straight, moderately posteriorly directed from near glabella, slightly less elevated and narrower than palpebral lobes, on internal moulds bilobate due to a faint furrow located slightly anterior to the center and with a progressively better impression towards the contact with the glabella, developing into slightly lobate swellings near axial furrow; dividing furrow appears to have its counterpart as a faint and short furrow near the anterolateral corners of the glabella. Anterior branches of the facial suture slightly divergent from anterior ends of the ocular suture, straight for approximately the adaxial two-thirds, reaching its maximum distance just behind the mid-length of the anterior area, then curving adaxially; posterior branches diverge strongly, nearly posteriorly directed before curving towards the posterior cranidial margin. Posterolateral projection narrow and long (tr.). Posterior border moderately convex, with a slight double curvature, expanding and flattening distally; posterior border furrow well defined, moderately broad and moderately deep (Figs. 3, 4).

Librigenae of moderate size, length (excluding the doublure) 7.5–16.5 mm (n = 9), width approximately 40% of the maximum librigenal length excluding the genal spine; lateral margin almost straight and extended anteriorly (Fig. 5H–N). Librigenal field moderately convex and wide, subtrapezoidal in outline. Lateral border narrow and slightly concave; lateral border furrow developed as a change in convexity along the lateral border; posterior border furrow short, fading in depth from posterior branch of suture. Eye socket low. Genal spine long and relatively slender. Doublure narrow and extremely long, extending anteriorly to the maximum length of the cephalon and curving inward along the margin of the cranidium (Fig. 5M, N). Surface of librigenal field occasionally with faint, centrifugally arranged caeca (Fig. 3A).

Hypostome of adult individuals unknow. An ovoid and
natant hypostome known from one poorly preserved specimen in meraspid stage four (M4) (Fig. 4A).

Thorax of thirteen thoracic segments with conspicuous pleural spine. Length and width of axial rings increasing in the first five segments and then reduced progressively posteriorly (Figs. 3A, 5A, B). Thoracic pleura moderately wide, transverse width of first five axial ring about one-third total width of segments, growing in relative width to ca. 40% in

Fig. 3. A ptychoparioid trilobite *Phantaspis auritus* gen. et sp. nov. from the middle Cambrian (Miaolingian, Wuliuan) Mantou Formation, Longgang section, Shandong Province, North China. A. NIGPAS 172104 (holotype), nearly complete exoskeleton. B. NIGPAS 172105 (paratype), nearly complete cranidium. C. NIGPAS 172106 (paratype), external mould of a nearly complete cranidium. D. NIGPAS 172107 (paratype), external mould of a nearly complete, holaspis-stage exoskeleton. E. NIGPAS 172108 (paratype), external mould of a nearly complete cephalon. F. NIGPAS 172109 (paratype), internal mould of specimen in E. G–I. NIGPAS 172111, 172110, 172112, cranidia. Scale bars 5 mm.
subsequent segments; greatest width (tr.) at segments three to five, with slightly but increasingly backward-directed pleurae in the posterior three or four thoracic segments; posterior pleural band equal in length to the anterior pleural

band. Pleural furrow moderately deep and long, form posteriorly bent and narrow lenticular furrows, extending into the pleural spines. Pleural tips extend into elongate, progressively posteriorly directed spines, length (exs.) increasing in the first five or six segments and decreasing posteriorly. Surface of thoracic pleurae smooth, axial ring bears median nodes.

Pygidium relatively small, length 2.7–5.5 mm (n = 8), width/length ratio ca. 0.7; semicircular posterior margin slightly curved terminally (Fig. 5A–G). Axis moderately tapered, mid-width 80% of the anterior axial width, mid-width 35% of the maximum pygidial width; length 65% of the pygidial length (sag.); three to four weakly convex axial rings, inter-ring furrows shallow; terminal axial piece small, smoothly triangular, with a faint, posteriorly fading postaxial ridge. Pleural regions slightly convex; with three pleural furrows of moderately width and depth, curving obliquely posteriorly; interpleural furrows indistinct. Posterior margin relatively wide (expressed on surface moulds), almost flat. Doublure of uniform width (visible impressions of its proximal rim on internal moulds) (Fig. 5E, F).

Ontogeny.—Data collected from cranidia ranging from 1 to 15.4 mm in length indicate that after the cranidial length reaches 5mm, the ratio of anterior area length (exs.) to cranid-
The primary ontogenetic trends may be summarized as follows (Fig. 6): (i) Glabellar outline subrectangular in meraspid, moderately tapering in holaspids, with the ratio of glabellar width (across S1) to the cranidial width across same transverse line remaining constant (approximately 0.3); frontal lobe of glabella subdivided in large individuals and slightly curved in juveniles (Fig. 3D). (ii) The anterior area increases in length, with the ratio of the length of the anterior area to the length of the cranidium (sag.) increasing from 20% in the earliest meraspid stage to approximately 57% in holaspids, and the ratio of the length of the preglabellar area to the length of the anterior area (sag.) decreasing from nearly 100% in the earliest meraspid stage to approximately 50% in holaspids. (iii) Anterior border length (sag.) and the ratio of preglabellar area length to anterior area length tend to be constant (50–65% and 40–62%, respectively). We therefore place the end of the meraspid stage (Figs. 3A–C, E–I, 4A–F) and the onset of the holaspid stage (Figs. 3D, 4A–F) at this transition, even though we did not find any proven meraspid specimens except for one exoskeleton belonging to meraspid stage four (M4) (Fig. 4A).

Fig. 6. Reconstructions of the dorsal exoskeleton and life cycle of Phantaspinus auritus gen. et sp. nov. from the Cambrian (Miaolingian, Wuliuan) Mantou Formation, Longgang section, Shandong Province, North China. A. Merospid degree 4. B. Late meraspid stage. C. Early holaspid stage. D. Late holaspid stage.

Remarks.—The monotypic Phantaspinus gen. nov. differs from species of all other trilobite genera in having a deep sagittal indentation of the anterior area in later growth stages. Based on the combination of the tapering glabella, the outline of the preglabellar field, opisthoparian sutures and nasant hypostome, this new taxon is certainly a member of the Ptychopariida. Strongly developed eye ridges, clearly convex palpebral lobes and relatively large pygidium of Phantaspinus assign it to the Superfamily Ptychopariacea. Moreover, it is differentiated from roughly comparable ptychoparioids trilobites by its elliptical lateral glabellar pits, the narrow border, and the nearly straight librigenal margin.

In addition to the distinct autapomorphies, Phantaspinus combines the characters known from several families in the Superfamily Ptychopariacea. In the morphology of the glabellar furrows and eye ridges, Phantaspinus is somewhat similar to Tengfengia Hsiang, 1962 (Tengfengiidae Chang, 1963) from the Hsuchuangian Stage of North China, which differs from the Phantaspis in the morphology of the anterior area of the cranidium, the shape of the glabella, and the width of the palpebral area (60% of the cranidial width in Tengfengia). Lioparella Kobayashi, 1937 (Proasaphiscidae Chang, 1963) from the Hsuchuangian Stage of North China has certain features in common with Phantaspinus, but there are also clear differences between these genera in the morphology of the anterior area, glabella and pygidium. Holanshania Tu in Wang et al., 1956 and Proacanthocephala (Qiu in Qiu et al., 1983) (Holanshaniidae) from the Hsuchuangian Stage of North China has certain similarities to Phantaspinus, but the broader palpebral area and narrow anterior border in Phantaspinus make them easy to distinguish. Like Phantaspinus, Kochiella Poulsen, 1927 (?Ptychopariidae Matthew, 1887) from Laurentian North America has elevated eye lobes and a broad (tr.) palpebral area (Sundberg and McCollum 2002). However, Kochiella bears little resemblance to Phantaspinus in other characters. Salankanaspis Egorova, 1970 (Ptychopariidae) from the Aman Stage (corresponding mostly to the Wuliuan Stage) of the Siberian Platform is similar to Phantaspinus in the morphology of the cranidium, but in all other respects there is little similarity between these two genera. Except for the extended anterior border, Salankanaspis and two other Siberian genera, Olenekina Egorova, 1970 and Regius Egorova, 1970, are similar in morphology to Kounamkites Lermontova in Chernysheva et al., 1956 (Ptychopariidae), a genus endemic to deep water areas of the Siberian plate. Except for tengfengiids, holanshaniids, proasaphiscids and ptychopariids compared above, dokimocephalid from the Furongian of Laurentia also have a specialized anterior area/anterior border (Westrop et al. 2010), but other characters indicate that these groups are not closely related to Phantaspinus.
The clearly convex crescent-shaped palpebral lobes, conspicuous spinose thoracic segments and posteriorly fading postaxial ridge of *Phantaspis* are somewhat reminiscent to Proasaphiscidae which may include the Tengfengiidae and Holanshaniidae (Jell and Adrain 2003), but these characters just partly represent the diagnosis of proasaphiscids, and the systematic relationships of several exotic characters of *Phantaspis* remain uncertain. Therefore, we are unable to provide a confident family for *Phantaspis* in this study until further phylogenetic analysis appears.

Stratigraphic and geographic range.—Longgang section, Shandong Province, North China, *Sunaspis laevis–Sunaspis della rara* Zone of the Mantou Formation.

Discussion

Although trilobites had not yet achieved the peak of morphological disparity during the Cambrian (Stubblefield 1959; Whittington 1966; Foote 1990, 1991, 1993; Fortey and Owens 1991a, 1997; Webster 2007), many specialized designs already appeared in this period, including most of the common morphotypes (Fortey and Owens 1990a). Evolutionary trends such as eye loss (Álvaro and Vizcaíno 2003) and effacement (see *Giordanella*) appeared repeatedly. Many previously known Cambrian specialized designs were repeated in post-Cambrian trilobites (Fortey and Owens 1990a). Nevertheless, *P. auritus* represents a form of specialization in a Cambrian trilobite that was apparently not repeated in any younger trilobites. This indicates that in addition to the morphotypes prevalent in post-Cambrian, different adaptive specializations may have been developed among the Cambrian trilobites.

Some unusual trilobites have appeared in the early to middle Cambrian such as emuellids, burlingiids, and dame-sellids. However, the extraordinary examples of specialized cephalic structures in trilobites tended to be such features as prominent spines, or convex areas on the anterior border (e.g., Chang 1963; Egorova 1970; McNamara 1978; Lin 1984). *Phantaspis auritus*, however, displays a new and unique example of cephalic specialization among Cambrian trilobites. The specialization is manifested in the anterior area rather than the border, indicating the potential of the anterior area in changing the outline of cranidia.

The extended cephalon of *P. auritus* is reminiscent of certain sediment feeders with a specialized cephalon, for example species of Harpina and Trinucleidae, which show a thickened anterior border and a broad cephalic doublure. However, in *P. auritus* the anterior border was not thickened as those of the above groups. This may explain why the anterior area of most (43 out of 55) observed cranidia are broken (Figs. 3G–I, 4H–Q, 5A). There are several examples of Ordovician olenids with narrow median cephalic doublure and nearly flat wide preglabellar areas, not underlain by doublure (and natant hypostomes), such as *Hypermecaspis venulosa* (Fortey, 1974) which has a nearly flat anterior like *P. auritus*. However, it is difficult to compare the life habits of benthic olenids, which have lived in oxygen-poor environments to the subtidal *P. auritus*.

Other than adaptation to a particular life habit, further possibilities should be considered. Firstly, the cephalic shape seen in *P. auritus* may have reduced the risk of predation by increasing the effective size of *P. auritus*, thus making it harder to be eaten by predators, such as other trilobites (Whittington 1950; Corbacho and López-Soriano 2012; Corbacho 2014). Alternatively, the head-to-trunk length ratio of *P. auritus* as well as an enrolled specimen of this species (Fig. 5C) suggest that the animal may have been able to cover the entire ventral side of the trunk with the large cephalon. Thus, *P. auritus* may represent another example of a complete enrollment (Bergström 1973; Ortega-Hernández et al. 2013) without caudalization. Finally, although there is no evidence of sexual dimorphism, the development and stabilization of cranial morphology associated with sexual maturity suggests a possibility of sexual selection, similar to “beetle”-like horns known from other trilobites, which are assumed to reflect this type of selective strategy (Knell and Fortey 2005).

Conclusions

The distinctive cephalon of *Phantaspis auritus* gen. et sp. nov. provides valuable insights into cephalic specialization of middle Cambrian trilobites. *P. auritus* had an exceptionally long cephalon with a unique, strongly notched anterior area unlike that of any other known trilobite. It furthermore represents an early and very rare case of cephalic morphotypes among Cambrian trilobites. The new genus illustrates the diversity of Cambrian trilobites in morphotypes and provides an example of ptychoparioid cranial outline variation during the middle Cambrian caused by specialisation. The unique cephalon of *P. auritus* may have evolved in response to sediment-feeding mode, predation, sexual selection or factors favoring a particular mode of enrollment.

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